IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TACHIBANA, et. al.

Serial No.: 10/611,853

Group Art Unit: unassigned

Filed: 7/3/03

Examiner: unassigned

For: ETHER, POLYMER, RESIST COMPOSITION AND PATTERNING PROCESS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This information disclosure statement is made in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98 as follows:

Timing and Fees

\bowtie	Under 37 C.F.R. § 1.97(b), no fee or statement is required for filing this disclosure statement is filed:				
	\boxtimes	within under	n three months of the filing date of a national application other than a CPA § 1.53(d);		
			n three months of the actual filing date of the national phase of a PCT cation; OR		
		before RCE)	e the mailing of a first substantive office action (including after filing of an		
	Under specif	Under 37 C.F.R. § 1.97(c), this information disclosure statement is filed after the perspecified in 37 C.F.R. § 1.97(b), but before the mailing date of:			
			a final rejection under 37 C.F.R. 1.113;		
			termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR		
			a notice of allowance under 37 C.F.R. § 1.311; and		

		is accompanied by:				
			the statement as specified in 37 C.F.R. § 1.97(e) set out below; OR			
			a check covering the fee of \$180.00 under 37 C.F.R. § 1.17(p).			
		37 C.F.R. § 1.97(d), this information disclosure statement is filed after the mailif the following actions which have not been withdrawn:				
			a final action under 37 C.F.R. § 1.113;			
			termination of prosecution, e.g. Ex Parte Quayle, M.P.E.P § 609(B)(2); OR			
			a notice of allowance under 37 C.F.R. § 1.311;			
	AND	is filed o	on or before payment of the issue fee; AND is accompanied by:			
			the statement as specified in 37 C.F.R. § 1.97(e) as set forth below, and the fee of \$180.00 under 37 C.F.R. § 1.17(p).			
Stater	nents U	nder 37	C.F.R. 1.97(e)			
			Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application having a mailing date not more than three months prior to the filing date of this information disclosure statement; or			
			No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing date of the information disclosure statement.			
Cited	Materia	ı <u>ls</u>				
	\boxtimes	Copies of materials listed but not attached were cited in benefit (35 U.S.C. § 120) ancestor application Serial No. 10/046,264, on Form 892 by the Examiner and/or Form 1449 by the applicant; see 37 C.F.R. § 1.98(d).				
		-	s of materials listed but not attached were cited in an international search dated			
		Copie	s of the materials listed are attached (except for the foregoing).			
			•			

Non-English Language References						
		An English-language search report or equivalent paper from a foreign patent office is provided indicating the relevance of the cited reference(s).				
		A foreign-language search report from a foreign patent office is provided, a pertinent parts are translated substantively below:				
		X = Y =	document of particular relevance when it is taken alone document of particular relevance when it is combined with another such document			
		A =	document defining the general state of the art			
		O = P =	non-written disclosure intercalated document			
		T =	document cited to understand the theory or principle underlying the invention			
		E =	patent document which has the benefit of a date earlier than the filing date and which was published only on or after this filing date			
		D =	cited in the application			
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		&=	publication of member of same patent family			
		Transl	ation of other relevant information on foreign search report			
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Other	Informa	tion				
Payme	ent of Fe	es Due	(If Any):			
	A chec	check for \$ covering the fee identified above is attached.				
	Please	se charge to Deposit Account No. 13-3402 \$ for the fee identified above.				

The Commissioner is hereby authorized to charge or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

Yarres F Ruland, Reg. No. 37,432 Attorney/Agent for Applicants

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Attorney Docket No.: KOJIM-445D1

Date: September 25, 2003

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Substitute fo	or form 1449A/PTO	,		Complete if Known		
MEAS	MATION	DI0	OL OCUPE	Application Number	10/611,853	
	_	_	CLOSURE	Filing Date	7/3/03	
STATEMENT BY APPLICANT				First Named Inventor	TACHIBANA, et. al.	
				Group Art Unit	unassigned	
(L	ise as many she	ets as	necessary)	Examiner Name	unassigned	
Sheet	1	of	1	Attorney Docket Number	KOJIM-445D1	

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
	AA	Del Valle, et. al., Tetrahedron (1995), 51(30), 8259-78	
	AB	Oda, et. al., Tetrahedron (1195), 51(3), 695-702	
	AC	Horton, et. al., Carbohydrate Research (1993), 250(2), 261-74	
	AD	Buchbauer, et. al., Monatshefte für Chemie (1983), 114(11), 1237-46	
	<u> </u>		
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Examiner	Date	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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